

## EAST Search History

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	1	"6408282".pn.	US-PGPUB; USPAT	ADJ	ON	2008/07/23 18:09
L2	0	"2002010781"	US-PGPUB; USPAT	ADJ	ON	2008/07/23 18:40
L3	0	"20020107781" and fund	US-PGPUB; USPAT	ADJ	ON	2008/07/23 18:40
L4	1	"20020107781" and pool	US-PGPUB; USPAT	ADJ	ON	2008/07/23 18:41
L5	1	"20030014351" and (pool or mutual or trust)	US-PGPUB; USPAT	ADJ	ON	2008/07/23 18:43
S1	28	"5563783"	US-PGPUB; USPAT	ADJ	ON	2007/10/02 09:53
S2	1	"20050038727"	US-PGPUB; USPAT	ADJ	ON	2007/09/27 13:59
S3	32	pooling securities	US-PGPUB; USPAT	ADJ	ON	2007/10/02 09:54
S4	88	"6014643"	US-PGPUB; USPAT	ADJ	ON	2007/10/02 09:56
S5	3200	705/37	US-PGPUB; USPAT	ADJ	ON	2007/09/28 07:27
S6	7977	705/26	US-PGPUB; USPAT	ADJ	ON	2007/09/28 07:27
S7	1780	705/36	US-PGPUB; USPAT	ADJ	ON	2007/09/28 07:27
S8	1306	705/38	US-PGPUB; USPAT	ADJ	ON	2007/09/28 07:27
S9	2023	705/40	US-PGPUB; USPAT	ADJ	ON	2007/09/28 07:27
S10	3200	705/37	US-PGPUB; USPAT	ADJ	ON	2007/09/28 07:27
S11	2393	705/39	US-PGPUB; USPAT	ADJ	ON	2007/09/28 07:27
S12	2029	trading system	US-PGPUB; USPAT	ADJ	ON	2007/09/28 07:53
S13	588	S5 and S12	US-PGPUB; USPAT	ADJ	ON	2007/10/02 09:57
S14	525	S13 and identi\$	US-PGPUB; USPAT	ADJ	ON	2007/09/28 07:55

S15	28	S14 and pooling	US-PGPUB; USPAT	ADJ	ON	2007/10/02 10:01
S16	28	"5563783"	US-PGPUB; USPAT	ADJ	ON	2007/10/02 10:01
S17	162	S6 and S12	US-PGPUB; USPAT	ADJ	ON	2007/09/28 09:18
S20	228	S7 and S12 and identi\$	US-PGPUB; USPAT	ADJ	ON	2007/09/28 09:20
S21	1	"20040039675"	US-PGPUB; USPAT	ADJ	ON	2007/10/01 11:52
S22	28	"5563783"	US-PGPUB; USPAT	ADJ	ON	2007/10/01 12:06
S23	168	pool\$ securit\$	US-PGPUB; USPAT	ADJ	ON	2007/10/02 10:05
S24	6254	pool\$ and investment\$	US-PGPUB; USPAT	ADJ	ON	2007/10/01 17:03
S25	51670	"705"/.ccls.	US-PGPUB; USPAT	ADJ	ON	2007/10/01 17:03
S26	1801	S24 and S25	US-PGPUB; USPAT	ADJ	ON	2007/10/01 17:04
S27	1151	bucket\$ and investment \$	US-PGPUB; USPAT	ADJ	ON	2007/10/01 17:04
S28	194	S27 and S25	US-PGPUB; USPAT	ADJ	ON	2007/10/01 17:04
S29	21487	short and sell	US-PGPUB; USPAT	ADJ	ON	2007/10/01 17:04
S30	747	S29 and S25 and S26	US-PGPUB; USPAT	ADJ	ON	2007/10/01 17:05
S31	15842	pool\$ and securit\$	US-PGPUB; USPAT	ADJ	ON	2007/10/01 17:05
S33	2496	bucket\$ and securit\$	US-PGPUB; USPAT	ADJ	ON	2007/10/01 17:06
S34	332	S25 and S33	US-PGPUB; USPAT	ADJ	ON	2007/10/01 17:06
S35	122	S34 and S29	US-PGPUB; USPAT	ADJ	ON	2007/10/01 17:06
S36	51702	"705"/.ccls.	US-PGPUB; USPAT	ADJ	ON	2007/10/02 06:56
S37	2503	bucket\$ and securit\$	US-PGPUB; USPAT	ADJ	ON	2007/10/02 06:56
S38	332	S36 and S37	US-PGPUB; USPAT	ADJ	ON	2007/10/02 06:56
S39	21506	short and sell	US-PGPUB; USPAT	ADJ	ON	2007/10/02 07:31

S40	15858	pool\$ and securit\$	US-PGPUB; USPAT	ADJ	ON	2007/10/02 07:31
S41	997	S40 and S36 and S39	US-PGPUB; USPAT	ADJ	ON	2007/10/02 07:31
S42	1760	S39 and S40	US-PGPUB; USPAT	ADJ	ON	2007/10/02 07:31
S43	997	S41 and S36	US-PGPUB; USPAT	ADJ	ON	2007/10/02 07:34
S44	0	@ad< "2004"	US-PGPUB; USPAT	ADJ	ON	2007/10/02 07:38
S45	0	"705".ccls. @ad< "2004"	US-PGPUB; USPAT	ADJ	ON	2007/10/02 07:38
S46	0	"705".ccls. and @ad< "2004"	US-PGPUB; USPAT	ADJ	ON	2007/10/02 07:40
S47	34174	S36 and @ay< "2004"	US-PGPUB; USPAT	ADJ	ON	2007/10/02 07:40
S48	607	S47 and S43	US-PGPUB; USPAT	ADJ	ON	2007/10/02 08:57
S49	1	"20030233302"	US-PGPUB; USPAT	ADJ	ON	2007/10/02 09:01
S50	116	short sell	US-PGPUB; USPAT	ADJ	ON	2007/10/02 09:01
S51	1784	705/36	US-PGPUB; USPAT	ADJ	ON	2007/10/02 09:15
S52	2030	trading system	US-PGPUB; USPAT	ADJ	ON	2007/10/02 09:15
S53	253	S51 and S52	US-PGPUB; USPAT	ADJ	ON	2007/10/02 09:15
S54	253	S51 and S52	US-PGPUB; USPAT	ADJ	ON	2007/10/02 09:32
S55	0	"5563783" and @ay> "2003"	US-PGPUB; USPAT	ADJ	ON	2007/10/02 09:53
S56	15	pooling securities and @ay> "2003"	US-PGPUB; USPAT	ADJ	ON	2007/10/02 09:54
S57	88	"6014643"	US-PGPUB; USPAT	ADJ	ON	2007/10/02 09:57
S58	13	S57 and @ay> "2003"	US-PGPUB; USPAT	ADJ	ON	2007/10/02 09:57
S59	3209	705/37	US-PGPUB; USPAT	ADJ	ON	2007/10/02 09:57
S60	589	S59 and S52	US-PGPUB; USPAT	ADJ	ON	2007/10/02 09:57
S61	20	S60 and @ay> "2003"	US-PGPUB; USPAT	ADJ	ON	2007/10/02 09:59

S62	526	S60 and identi\$	US-PGPUB; USPAT	ADJ	ON	2007/10/02 09:59
S63	9	S62 and @ay > "2003"	US-PGPUB; USPAT	ADJ	ON	2007/10/02 09:59
S64	28	S62 and pooling	US-PGPUB; USPAT	ADJ	ON	2007/10/02 10:01
S65	0	S64 and @ay> "2003"	US-PGPUB; USPAT	ADJ	ON	2007/10/02 10:01
S66	28	"5563783"	US-PGPUB; USPAT	ADJ	ON	2007/10/02 10:01
S67	0	S66 and @ay> "2003"	US-PGPUB; USPAT	ADJ	ON	2007/10/02 10:01
S68	497	"L17" and @ay > "2003"	US-PGPUB; USPAT	ADJ	ON	2007/10/02 10:02
S69	8000	705/26	US-PGPUB; USPAT	ADJ	ON	2007/10/02 10:02
S70	162	S69 and S52	US-PGPUB; USPAT	ADJ	ON	2007/10/02 10:02
S71	12	S70 and @ay > "2003"	US-PGPUB; USPAT	ADJ	ON	2007/10/02 10:03
S72	514	"L18" and @ay > "2003"	US-PGPUB; USPAT	ADJ	ON	2007/10/02 10:03
S73	9313	"s18" and @ay > "2003"	US-PGPUB; USPAT	ADJ	ON	2007/10/02 10:04
S74	228	S51 and S52 and identi \$	US-PGPUB; USPAT	ADJ	ON	2007/10/02 10:04
S75	1	S74 and @ay > "2003"	US-PGPUB; USPAT	ADJ	ON	2007/10/02 10:04
S76	168	pool\$ securit\$	US-PGPUB; USPAT	ADJ	ON	2007/10/02 10:05
S77	58	S76 and @ay > "2003"	US-PGPUB; USPAT	ADJ	ON	2007/10/02 10:12
S78	1	"11648168"	US-PGPUB; USPAT	ADJ	ON	2007/10/02 10:12
S79	89	"6014643"	US-PGPUB; USPAT	ADJ	ON	2007/10/10 14:55
S80	0	"2005022943" A1	US-PGPUB; USPAT	ADJ	ON	2007/10/10 14:55
S81	0	"2005022943"	US-PGPUB; USPAT	ADJ	ON	2007/10/10 14:55
S82	0	"2005022943"	US-PGPUB; USPAT	ADJ	ON	2007/10/10 14:56
S83	1	"20050222943" A1	US-PGPUB; USPAT	ADJ	ON	2007/10/10 14:58

S84	1	"20070116037" A1	US-PGPUB; USPAT	ADJ	ON	2007/10/10 15:07
S85	95	short sell and "705"/. ccls.	US-PGPUB; USPAT	ADJ	ON	2007/10/10 16:30
S86	3205	pool\$ and securit\$ and "705"/.ccls.	US-PGPUB; USPAT	ADJ	ON	2007/10/10 15:08
S87	17	S86 and S85	US-PGPUB; USPAT	ADJ	ON	2007/10/10 15:10
S88	35	"6418419"	US-PGPUB; USPAT	ADJ	ON	2007/10/10 15:21
S89	1	"20040039675"	US-PGPUB; USPAT	ADJ	ON	2007/10/10 16:32
S90	89	"6014643"	US-PGPUB; USPAT	ADJ	ON	2007/10/11 10:00
S91	35	"6418419"	US-PGPUB; USPAT	ADJ	ON	2007/10/11 10:00
S92	35	"6418419"	US-PGPUB; USPAT	ADJ	ON	2007/10/11 10:02
S93	3	"7024387"	US-PGPUB; USPAT	ADJ	ON	2007/10/11 10:02
S94	3	"10301527"	US-PGPUB; USPAT	ADJ	ON	2007/10/11 10:02
S95	12	"09184571" or "10603100" or "09870845" or "09750768" or "09585049"	US-PGPUB; USPAT	ADJ	ON	2007/10/11 10:03
S96	3	"6014643" and minton. in.	US-PGPUB; USPAT	ADJ	ON	2007/11/26 13:21
S97	1	wallman.in. and "10627646"	US-PGPUB; USPAT	ADJ	ON	2007/11/26 13:32
S98	0	pooling securities and short sell	US-PGPUB; USPAT	ADJ	ON	2007/11/26 13:35
S99	1	pool\$ securities and short sell	US-PGPUB; USPAT	ADJ	ON	2007/11/26 13:35
S100	51	Weinreb.in.	US-PGPUB; USPAT	ADJ	ON	2007/11/26 13:36
S101	0	Weinreb.in. and "705"	US-PGPUB; USPAT	ADJ	ON	2007/11/26 13:36
S102	2	Weinreb.in. and "705"/. ccls.	US-PGPUB; USPAT	ADJ	ON	2007/11/26 13:36
S103	91	pool\$ securit\$ and "705"/.ccls.	US-PGPUB; USPAT	ADJ	ON	2007/11/26 13:37

S104	4	order book and (brokerage same identifier)	US-PGPUB; USPAT	NEAR	ON	2008/07/21 19:50
S105	1	"6014643".pn. and identifier	US-PGPUB; USPAT	NEAR	ON	2008/07/21 19:54
S106	0	"20040039675" and identifier	US-PGPUB; USPAT	NEAR	ON	2008/07/21 20:35
S107	1	"20040039675" and identif\$	US-PGPUB; USPAT	NEAR	ON	2008/07/21 20:35
S108	1	"20040039675" and identif\$ and (mutual or pool\$3) same fund	US-PGPUB; USPAT	NEAR	ON	2008/07/21 20:38
S109	463	(mutual or pool) (fund\$ or securit\$3) and (short same (sell or selling) or shorting)	US-PGPUB; USPAT	NEAR	ON	2008/07/21 20:48
S110	45	S109 and (market or order) book	US-PGPUB; USPAT	NEAR	ON	2008/07/21 20:49

7/23/2008 6:56:37 PM

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